



#4 Receipt

ATTORNEY DOCKET NO.: 1232-4412US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : K. YANAGITA et al.

Serial No. : 09/931,771

Group Art Unit: 2812

Filed : August 20, 2001

Examiner : Unknown

For : WAFER PROCESSING APPARATUS AND METHOD, WAFER CONVEY ROBOT,  
SEMICONDUCTOR SUBSTRATE FABRICATION METHOD, AND  
SEMICONDUCTOR FABRICATION APPARATUS

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Attn.: Office of Initial Patent Examination's  
Customer Service Center

Commissioner for Patents  
Washington, D.C. 20231

Sir:

A corrected Filing Receipt is hereby requested to correct an error in the original Filing Receipt.

Specifically, under section of Domestic Priority data as claimed by applicant, please change "THIS APPLICATION IS A CON OF 09/015,582 01/29/1998" to --THIS APPLICATION IS A DIVISION OF 09/015,582 filed on 01/29/1998--.

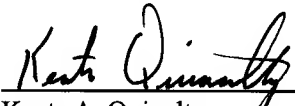
For the convenience of the Patent and Trademark Office, attached is a copy of the original Official Filing Receipt with the correction marked in red and the divisional application Transmittal filed on August 20, 2001.

As the error was made by the Patent and Trademark Office, it is believed that no fee is necessary. However, please credit or debit Deposit Account No. 13-4503; Order No. 1232-4412US1 as necessary to effect entry of the attached request for corrections.

Respectfully submitted,

Date: October 16, 2001

By:

  
Keats A. Quinalty  
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**CORRESPONDENCE ADDRESS:**

Morgan & Finnegan, L.L.P.  
345 Park Avenue  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/931,771	08/20/2001	2812	950	1232-4412US1	12	6	6

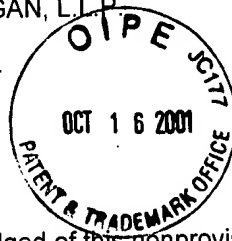
CONFIRMATION NO. 6944

## FILING RECEIPT



\*OC00000006850479\*

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154



Date Mailed: 10/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kazutaka Yanagita, Yokohama-shi, JAPAN;  
Kiyofumi Sakaguchi, Yokohama-shi, JAPAN;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CONTINUATION OF 09/015,582 01/29/1998

DIVISION

## Foreign Applications

JAPAN 9-021796 02/04/1997  
JAPAN 9-030887 02/14/1997

If Required, Foreign Filing License Granted 10/03/2001

Projected Publication Date: 01/10/2002

Non-Publication Request: No

Early Publication Request: No

## Title

Wafer processing apparatus and method, wafer convey robot, semiconductor substrate  
fabrication method, and semiconductor fabrication apparatus

## Preliminary Class

CASE 1232-4412 ATTY KA  
INFORMATION DISCLOSURE  
STATEMENT 10/20/2001

FOREIGN FILING

CONVENTION DATE EXPIRES 10/20/2001

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Docket No. 1232-4412US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))**

ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

- |     |  |     |  |
|-----|--|-----|--|
| (1) | Kazutaka YANAGITA<br>8-8-302, Mori 1-chome, Isogo-ku,<br>Yokohama-shi, Kanagawa-ken, JAPAN | (2) | Kiyofumi SAKAGUCHI<br>19-2-504, Edahigashi 2-chome, Tsuzuki-ku,<br>Yokohama-shi, Kanagawa-ken, JAPAN |
|-----|--|-----|--|

☐ Additional inventors are listed on a separate sheet

For: WAFER PROCESSING APPARATUS AND METHOD, WAFER CONVEY ROBOT,  
SEMICONDUCTOR SUBSTRATE FABRICATION METHOD, AND SEMICONDUCTOR  
FABRICATION APPARATUS

Enclosed Are:

- 37 page(s) of specification  
1 page(s) of Abstract  
12 page(s) of claims  
12 sheets of ☒ Formal ☐ Informal drawings  
5 page(s) of Declaration and Power of Attorney

- ☐ Unsigned  
☐ Newly Executed  
☒ Copy from prior application  
☐ Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2)

☒ **Incorporation by Reference:**

- ☒ The entire disclosure of the prior application, from which a copy of the combined Declaration and Power of Attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

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- ☐ Microfiche Computer Program (Appendix)
- ☐ page(s) of Sequence Listing
- ☐ computer readable disk containing Sequence Listing
- ☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ A check in the amount of \$40.00 for recording the Assignment
- ☐ Charge the Assignment Recordation Fee to Deposit Account No. 13-4503, Order No. . .
- ☒ Assignment Papers filed in the parent application Serial No. 09/015,582
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b)
- ☒ Priority is claimed under 35 U.S.C. § 119 for:  
Application No(s). 9-021796, filed 2/4/97, in Japan (country); and Application No(s). 9-030887,  
filed 2/14/97 in Japan (country).
- ☒ Certified Copy of Priority Document(s) [      ]
- ☐ filed herewith
- ☒ filed in application Serial No. 09/015,582, filed 1/29/98.
- ☐ English translation document(s) [      ]
- ☐ filed herewith
- ☐ filed in application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ Priority is claimed under 35 U.S.C. § 119(e) for:  
Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ Priority is claimed under 35 U.S.C. § 120 for:  
Application No(s). \_\_\_\_\_, filed \_\_\_\_\_, in \_\_\_\_\_.
- ☐ Information Disclosure Statement
- ☐ Copy of [    ] cited references
- ☐ PTO Form-1449
- ☐ References cited in parent application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ This is a ☐ continuation ☒ divisional ☐ continuation-in-part of prior application serial no.  
09/015,582, filed 1/29/98.
- ☒ Cancel in this application original claims 1-42 and 49 of the parent application before  
calculating the filing fee. (At least one original independent claim must be retained for  
filing purposes.)
- ☐ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been  
properly numbered consecutively beginning with the number following the highest  
numbered original claim in the prior application.
- ☒ The status of the parent application is as follows:

- ☐ A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☒ No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the parent application to this application
- ☒ Amend the specification by inserting before the first line the sentence:  
This is a continuation of co-pending application Serial No. 09/015,582, filed 1/29/98.

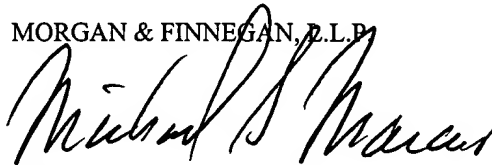
I. CALCULATION OF APPLICATION FEE				
	Number Filed	Number Extra	Rate	Basic Fee \$710.00/355.00
Total Claims	6- 20 =	0	\$18.00/\$9.00	\$ 0
Independent Claims	6- 3 =	3x	\$80.00/\$40.00	\$ 240.00
<input type="checkbox"/> Multiple Dependent Claims		If marked, add fee of \$260.00 (\$130.00)		\$
TOTAL:				\$ 950.00

- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. §1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. §1.9 (f) paid herewith \$\_\_\_\_\_.
- ☐ A check in the amount of \$ \_\_\_\_\_ in payment of the application filing fees is attached.
- ☒ Charge fee to Deposit Account No. 13-4503 Order No. 1232-4412US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application pursuant to 37 CFR §1.16, including all extension of time fees pursuant to 37 C.F.R. § 1.17 for maintaining copendency with the parent application, or credit any overpayment to Deposit Account No. 13-4503 Order No. 1232-4412US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.



By:

Michael S. Marcus

Registration No. 31,727

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Dated: August 20, 2001

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